



**COMPOSITEEDGE**  
Discount Broking. Algo Trading. Smart Tools.

**Application Kit**



# WELCOME TO THE WORLD OF INNOVATIVE TRADING

AMIBROKER  
MT4

MS- Excel

DOTNET,  
JAVA  
PYTHON,  
C++

BRACKET  
ORDERS

COVER  
ORDERS

TRAILING  
STOPS

PRE-  
DEFINED  
ORDER  
ENTRY/  
EXITS

SCRIP-  
WISE  
HUMUNGUS  
LOSS

PROFIT  
SLICING

MUTUAL  
FUNDS

Swift account  
opening

Multiple  
trading  
platforms

Superior back  
office

DOWNLOAD APPLICATION  
FILL OUT PERSONAL INFO  
AFFIX PHOTOS  
SIGN & COURIER  
NOW • NEST  
SYMPHONY PRESTO  
MOBILE APP • SPARC

**Looking for additional information...**

[www.compositededge.com](http://www.compositededge.com) • [forum.compositededge.com](http://forum.compositededge.com)

**e-mail:** [help@compositeinvestments.com](mailto:help@compositeinvestments.com) **dial:** 91-80- 41145828; 91-80-41145829



# COMPOSITE INVESTMENTS PVT LTD

## CHECK LIST - TRADING ACCOUNT

1. **ID Proof** (Mandatory) – Pan Card Photocopy (Self-attested)

2. **Address Proof** (any one of the following) (Self attested-Photocopy)

- Driving License       Latest Telephone Bill       Voters ID       Electricity Bill       Ration Card  
 Aadhaar Card       Gas Bill       Passport       Latest 3 Months Bank Statement / Passbook

*The original address proof needs to be displayed before the webcam at the time of IN PERSON VERIFICATION*

3. **Bank Proof**

Cheque of Rs.400/- favoring “COMPOSITE INVESTMENTS PVT LTD” towards Account opening charges (Trading and Demat). In case the name of the client is not printed on the cheque, latest bank statement/passbook photocopy has to be provided (Self attested)

4. **Income Proof**

In case of Salary Income: Salary slip-Copy of Form 16	Latest IT return: Photocopy	Latest Demat Account Statement
6 months Bank statement Passbook along with bank seal	Net worth Certificate from C.A.	

5. **Two Passport size photographs**

The same needs to be affixed & signed across Trading application form page no.1 & Demat form page no.2 Across signature required on photo (half signature on form & half on photo)

6. **In-person Verification**

SEBI requires us to perform an In-person verification. You therefore have to be available on a webcam for the in-person verification unless you reside in Bangalore.

*Note: Witness Signature has to be taken along with their name & address both in Demat & Trading form.  
(Nominee & the Account holder cannot sign as witness)*

*Please send us the form with the supporting documents to the below mentioned address:*

**Composite Investments Pvt. Ltd.**

No. 30/1, 3rd Floor,  
S.J. Towers, Mission Road,  
Bangalore - 560027 Karnataka, India  
Ph: 080-41145828/829  
Mob: 9844700001

# Annexure -1

## ACCOUNT OPENING KIT

Sl.no.	Name of Document	Brief Significance of the document	Page no.
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1	Account Opening Form	KYC form - Document captures the basic information about the constituent and an instruction check list.	1-5
2	Rights & Obligations	Document stating the Rights & Obligations of stock broker trading member and client for trading on exchanges including additional rights & obligations in case of internet/wireless technology based trading.	Annexure-A
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure-B
4	Guidance Note	Document detailing do's and don't's for trading on exchange for education of investors.	Annexure-C
5	Policies & Procedures	Document describing significant policies and procedures of Stock Broker.	Annexure-D
6	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	6
<b>VOLUNTARY DOCUMENTS AS PROVIDED BY the STOCK BROKER</b>			
7	Running account authorization		7
8	Acknowledgement Mobile & Client Default Declaration		8
9	Undertaking-General Terms & conditions		9-11

## TRADING & CLEARING MEMBER INFORMATION

Name of Trading Member	Composite Investments Pvt. Ltd	
SEBI Registration No.	INZ000279531	
CDSL DP: IN-DP-CDSL-553-2010	DPID:12065200	
Registered Office & Correspondence Address	COMPOSITE INVESTMENTS PVT LTD No. 30/1, 3rd Floor, S.J. Towers, Mission Road, Bangalore 560027, Karnataka, India	
Name of the Clearing Member	Stock Holding Corporation of India Limited	
Phone: 080-22244909 / 912 /913	Website: www.compositedge.com	
Compliance Officer Name: Phone No. & Email ID	Prakash Nayak B, 080-22244909 / 912 / 913 prakash@compositeinvestments.com	
CEO Name, Phone No. & Email ID	Satish Kumar Dutt, 080-22244909 / 912 / 913 skdutt@compositeinvestments.com	

For any grievance please contact CIPL at the above address or email [investorgrievance@compositeinvestments.com](mailto:investorgrievance@compositeinvestments.com) and Phone no. 91-80-22244912 In case not satisfied with the response, please contact the concerned exchange at

1. NSE: [ignse@nse.co.in](mailto:ignse@nse.co.in) or contact at 022-26598100
2. BSE: [is@bseindia.com](mailto:is@bseindia.com) or contact at 022-22728097
3. MCX-SX: [investorcomplaints@mcx-sx.com](mailto:investorcomplaints@mcx-sx.com)



## Instructions / Checklist for filling KYC form

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/Judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity ( POI): List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards / Debit cards issued by Banks.

### C. Proof of Address (POA): List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/ Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary public/ Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card / document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse maybe accepted.

### D. Exemptions/clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs.50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVC |s, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed) on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.



## KNOW YOUR CLIENT (KYC) APPLICATION FORM FOR INDIVIDUALS

Please fill this form in ENGLISH and in BLOCK LETTERS

A. IDENTITY DETAILS				
<b>Name of the Applicant</b>				
<b>Father's/Spouse Name</b>				
<b>Mother's Name</b>				
<b>Gender</b>	Male	Female	<b>Marital Status</b>	Single    Married
<b>Date of Birth</b>			<b>Nationality</b>	
<b>Status</b>	Resident Individuals	Non Resident	Foreign National	
<b>PAN</b>				
<b>Unique Identification No. (UID) / Aadhaar if any</b>				
<b>Specify the Proof of Identity submitted</b>				
B. ADDRESS DETAILS				
<b>Address for Correspondence</b>				
	<b>City</b>		<b>Pin code</b>	
	<b>State</b>		<b>Country</b>	
<b>Contact Details</b>	<b>Phone (res)</b>		<b>Fax</b>	
	<b>Phone (off)</b>		<b>Mob. No</b>	
	<b>Email Id</b>			
<b>Specify the Proof of address submitted for correspondence address</b>				
<b>Permanent Address</b> <i>(If different from above or overseas address, mandatory for Non-Resident Applicant)</i>				
	<b>City</b>		<b>Pin code</b>	
	<b>State</b>		<b>Country</b>	
<b>Specify the Proof of address submitted for Permanent address</b>				

PHOTOGRAPH  
Please affix the recent passport size photograph of Authorised Signatory and sign across it

1

DECLARATION	SIGNATURE OF APPLICANT
I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.	<div style="background-color: #ADD8E6; padding: 5px; text-align: center;">  2                     </div>
Place: _____ Date: _____	

FOR OFFICE USE ONLY	
AMC/Intermediary name OR code (Original Verified) Self Certified Document copies received  (Attested) Two copies of documents received	Seal/Stamp of the intermediary should contain Staff Name, Designation, Name of the Organisation, Signature and Date



<b>Occupation</b> (Please tick any one)	<input type="radio"/> Private Sector	<input type="radio"/> Public Sector	<input type="radio"/> Govt. Service	<input type="radio"/> Business
	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Housewife	<input type="radio"/> Student
	<input type="radio"/> Retired	<input type="radio"/> Others		
<b>If you are Employed/ Self Employed / Business/ Professional</b> (Please give brief details)	Name of your employer / firm			
	Nature of activity			
	Designation			
	Address of employer / firm			
<b>Please tick, if applicable</b>	<input type="radio"/> Politically Exposed Person (PEP)		<input type="radio"/> Related to a Politically Exposed Person (PEP)	
<b>Any other information</b>				
<b>OTHER DETAILS</b>				
<b>Gross Annual Income Details</b> (Please specify) Income Range per annum"	<input type="radio"/> Below Rs.1 Lac		<input type="radio"/> 1-5 Lacs	<input type="radio"/> 5-10 Lacs
	<input type="radio"/> 10-25 Lacs		<input type="radio"/> Above 25 Lacs	
<b>Net worth as on (date)</b>	Amount			
<i>Net worth should not be older than 1 year</i>				

<b>FOR OFFICE USE ONLY</b>				
<input type="radio"/> (Originals verified) True copies of documents received			<input type="radio"/> (Self Attested) Self Certified Document copies received	
<b>Signature of the Authorised Signatory</b>				
<b>Date</b> (dd/mm/yyyy)			<b>Seal / Stamp of the Intermediary</b>	
<b>UCC Code allotted to the Client:</b>				
	<b>Documents verified with Originals</b>	<b>Client Interviewed by</b>	<b>In-Person Verification done by</b>	
<b>Name of the Employee</b>				
<b>Employee Code</b>				
<b>Designation of the employee</b>				
<b>Date</b>				
<b>Signature</b>				

I/ We undertake that we have made the client aware of 'Policy and Procedures' tariff sheet and all the non-mandatory documents. I/ We have also made the client aware of 'Rights and Obligations' documents(s), RDD and Guidance Note. I/We have given / sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures' tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on My/our website, if any, for the information of the clients.

**Signature of the Authorised Signatory**

**Seal / Stamp of the stock broker**

**Date** \_\_\_\_\_



### Trading Account Related Details

BANK ACCOUNT DETAILS-PRIMARY ACCOUNT					
Bank Name					
Branch Address					
Bank A/c Number					
Account Type	<input type="radio"/> SB	<input type="radio"/> CA	<input type="radio"/> NRE	<input type="radio"/> NRO	<input type="radio"/> OTHERS
MICR No.					
IFSC Code					

DEPOSITORY ACCOUNT(S) DETAILS	
Depository Participant Name	
Depository (NSDL / CDSL)	
Beneficiary Name	
Beneficiary ID (BO ID)	
DP ID	

TRADING PREFERENCES					
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.					
Exchanges	NSE, BSE				MCX, BSE & NSE
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
If you do not wish to trade in any of segments / Mutual Fund, please mention here _____.					

# If, in future the client wants to trade on any new segment / new exchange, separate authorization / letter should be taken from the client by the stock broker.

PAST ACTIONS
Details of any action proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent of its partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years.



### DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

<b>Sub-broker's Name</b>			
<b>SEBI Registration number</b>			
<b>Registered office address</b>			
<b>Phone</b>			
<b>Website</b>			
<b>Whether dealing with any other stock broker / sub-broker</b> <i>(If case dealing with multiple stock brokers/sub-brokers, provide details of all)</i>	<input type="radio"/> Yes		<input type="radio"/> No
	<b>Name of Stock Broker</b>		
	<b>Name of Sub Broker if any</b>		
	<b>Client Code</b>		<b>Exchange</b>
	<b>Details of disputes / dues pending from / to such stock broker /sub broker</b>		

### ADDITIONAL DETAILS

<b>Mode of receiving contract notes</b> <i>(please tick)</i>	<input type="radio"/> Physical Contract note		<input type="radio"/> Electronic Contract note
<b>Specify your email ID if applicable</b>			
<b>Whether you wish to avail Internet Trading</b> <i>(please specify and sign)</i>	<input type="radio"/> Yes	<input type="radio"/> No	<b>Client Signature</b> 4
<b>Whether you wish to avail wireless technology</b> <i>(please specify and sign)</i>	<input type="radio"/> Yes	<input type="radio"/> No	<b>Client Signature</b> 5
<b>No. of years of investment / trading experience</b>			

### INTRODUCER DETAILS

<b>Name of the introducer</b>			
<b>Address</b>			
<b>Phone No.</b>			
<b>Status / Relationship of introducer with CIPL</b>	<input type="radio"/> Authorised person	<input type="radio"/> Sub broker	<input type="radio"/> Existing client
	<input type="radio"/> Others please specify		
<b>Signature of the introducer</b> <i>(optional)</i>			



## Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

<b>Composite Investments Pvt Ltd</b> 30/1, S J Towers, Mission Road, Bangalore - 560027										<b>FORM FOR NOMINATION</b> (To be filled in by individual applying singly or jointly)																
Date	D	D	M	M	Y	Y	Y	Y	Y	UCC/DP ID	I	N							Client ID							
I/We wish to make a nomination. [As per details given below]																										
<b>Nomination Details</b>																										
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																										
Nomination can be made upto three nominees in the account.										Details of 1 <sup>st</sup> Nominee					Details of 2 <sup>nd</sup> Nominee					Details of 3 <sup>rd</sup> Nominee						
1	Name of the nominee(s) (Mr./Ms.)										%					%					%					
2	Share of each Nominee	Equally <small>[If not equally, please specify percentage]</small>										%					%					%				
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																										
3	Relationship With the Applicant (If Any)																									
4	Address of Nominee(s)  City / Place: State & Country:																									
										PIN Code																
5	Mobile / Telephone No. of nominee(s)																									
6	Email ID of nominee(s)																									
7	Nominee Identification details – [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																									
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																										
8	Date of Birth {in case of minor nominee(s)}																									
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																									
10	Address of Guardian(s)																									

**Composite Investments Pvt Ltd**

Corporate Identity Number (CIN) - U67120KA1995PTC016916 | 30/1, 3rd Floor, S.J. Towers, Mission Road, Bengaluru - 560027 Tel.: +91-080-41145828/29  
 SEBI Registration No. INZ000279531 | CDSL DP: IN-DP-CDSL-553-2010 | <https://www.compositedge.com/>

	City / Place: State & Country:						
		PIN Code					
11	Mobile / Telephone no. of Guardian						
12	Email ID of Guardian						
13	Relationship of Guardian with nominee						
14	Guardian Identification details – [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID						
<b>Name(s) of holder(s)</b>						<b>Signature(s) of holder<sup>±</sup></b>	
Sole / First Holder (Mr./Ms.)							
Second Holder (Mr./Ms.)							
Third Holder (Mr./Ms.)							

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

**Note:**

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Nomination Form accepted and registered vide Registration No. \_\_\_\_\_ dated \_\_\_\_\_.

**For Depository Participant**  
(Authorised Signatory)

**Composite Investments Pvt Ltd**

## Declaration Form for opting out of nomination

*[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]*

To	Date	D	D	M	M	Y	Y	Y	Y
Trading Member/Participant's Name	Composite Investments Pvt Ltd								
Trading Member/Participant's Address	30/1, 3rd Floor, S.J. Towers, Mission Road, Bengaluru - 560027								
UCC/DP ID	I	N							
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
<p>I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.</p>									
<b>Name and Signature of Holder(s)*</b>									
<p>1. _____ 2. _____ 3. _____</p>									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

**Composite Investments Pvt Ltd**

## TARIFF SHEET

I/We agree to pay the charges as per following charges structure for my/our Trading account with CIPL Equities, whether delivery, intraday, futures, options and currency.

For all executed orders	Rs.15/- unlimited shares*
Broker Assisted	Additional Rs.15/- per order
Minimum Contract Charges	Rs.10/- per Segment

**\*Or 0.006% whichever is lower.**

**\*Or 0.06% whichever is lower for Delivery Trades.**

*\*all trades resulting from a single order.*

Note: Turnover for options is calculated as (Strike Price+Premium) x Lot Size  
 Brokerage is also charged on expired, exercised and assigned futures & options contracts.  
 Margin as required by SEBI/Exchanges will be required to be paid.

NRI-Equity Delivery	Rs.200/-per executed order
NRI-Futures & Options	Rs.200/-per executed order

**\*Or 0.01%- whichever is lower for Futures & Options.**

**\*Or 0.1%- whichever is lower for Delivery Trades.**

In addition to the brokerage charges the other charges as mentioned below will also be levied.

### Schedule of Charges:

1. Transaction Charges
2. Securities Transaction Tax (STT)
3. GST 18% on Brokerage and transaction charges.
4. Stamp Duty (State-wise stamp duty as applicable will be levied)
5. SEBI Turnover Fees

Place:

Date:

Client Signature  7



# Voluntary

## Running Account Authorisation

To:  
**COMPOSITE INVESTMENTS PVT LTD**  
No, 30/1, 3rd Floor, S.J. Towers,  
Mission Road, Bangalore – 560 027.

Date:

Ref: Client Code: \_\_\_\_\_

Dear Sir,

In order to facilitate operations of my/our trading account opened under the aforesaid client code. I/We would like to authorize Composite Investments Private Limited. (CIPL) to maintain a running account, instead of settlement-to-settlement clearance of funds/securities due to me/us. In this regard I/We have been informed about the SEBI circular no MIRSD/SE/CIR-19/2009 dated December 3, 2009. I/We have read and understood the same. I/We hereby authorise CIPL to maintain a running account.

I/We shall prefer settlement of fund/securities once in (tick any one)

- A calendar quarter                       A calendar month

Such settlement will be done by CIPL on any day at its discretion in a calendar month or quarter (hereinafter referred as 'settlement period') as the case may be. I/We agree that I/We shall be liable to pay the debit standing to my account on the settlement date or any other date.

I/We agree that CIPL shall not be liable for any claim for loss, loss of profit, loss of interest or for any consequential, incidental, special or exemplary damages, caused by retention/settlement of such securities/funds under aforesaid arrangement or otherwise.

I/We am/are aware that the authorization may be revoked by me/us any time. CIPL may release the funds/securities to me/us on my/our on settlement date or on request or on revocation of authority, if sufficient margins in respect of my/our trading across the stock exchange(s) and across the segment of the stock exchange(s) are available with CIPL.

To address the administrative / operational difficulties in settling the accounts of active clients accounts with credit balances of Rs. 10000/- or lesser shall by default be retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/NSP/24849, 20091204-7 & MCX-SX-238/2009. The client however can request for withdrawal of the same at any time.

Yours faithfully

**Client Signature**  8

Name: \_\_\_\_\_

*Note: The authorization shall be signed by the client only and not by any authorized person on his behalf or any holder of the Power of Attorney.*



# Voluntary

## Acknowledgement

To:

**COMPOSITE INVESTMENTS PVT LTD**

No, 30/1, 3rd Floor, S.J. Towers, Mission Road, Bangalore – 560 027.

Date:

Dear Sir / Madam,

I / We hereby acknowledge receipt of the following documents.

1. Duly executed copy of KYC.
2. Rights and Obligations of Stock Brokers, Sub-brokers and Clients as prescribed by SEBI and Stock Exchanges and Internet & Wireless Technology Based Trading provided by Stock Brokers to Clients.
3. Risk Disclosure Document for Capital Market and Derivatives Segments.
4. Guidance Note – Do's and Don'ts for Trading on the Exchange(s) for investors.
5. Policies and Procedures
6. Tariff Sheet
7. General Terms & Conditions governing securities Trading & Broking services of Composite Investments Pvt. Ltd.
8. All other mandatory and voluntary client registration documents.

I / we have without any compulsion executed the Voluntary documents by me / us and have read, understood the same. The above documents are binding on me.

Yours faithfully,

Client Name \_\_\_\_\_

Client Code \_\_\_\_\_ Date: \_\_\_\_\_

**Client Signature**  9

## Mobile Declaration

I \_\_\_\_\_ having PAN \_\_\_\_\_ do hereby declare that my mobile no. is \_\_\_\_\_. Further, I authorize Composite Investments Pvt. Ltd. that the same may be used for giving me any information /alert/SMS.

I further declare the above mentioned statement is true and correct.

**Client Signature**  10

## Client Defaulter Declaration

Date: \_\_\_\_\_

I \_\_\_\_\_ having PAN \_\_\_\_\_ do hereby declare that I have not been involved in any terrorist activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/Various Exchange/Regulatory bodies, etc.

I further declare that the above mentioned declaration / statement is true and correct.

**Client Signature**  11



# Voluntary Document

To:  
**COMPOSITE INVESTMENTS PVT LTD**  
No, 30/1, 3rd Floor, S.J. Towers,  
Mission Road, Bangalore – 560 027.

Date:

Dear Sir,

## **SUB:-UNDERTAKING / AUTHORISATION**

With respect to the Member-Constituent Relationship and Mandatory and Voluntary (Optional) Documents executed between us, I/We do hereby authorize CIPL to do the following:

### **1. ORDER PLACEMENT**

I understand that you require written instructions from me for placing / modifying / cancelling orders. However since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even if I have facility on trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/emailing the Help desk/branch specified for the said purpose by CIPL in case of breakdown of Internet connectivity or other similar reasons. I hereby request you to kindly accept my authorized representative or mandate holder's verbal orders/instructions in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify CIPL and its employees against all losses, damages, actions which you may suffer or face as a consequence of adhering to and carrying out my instructions for orders placed verbally.

### **2. BACK OFFICE LOGIN**

Back office software, Password, (herein after referred to as "Password") will be sent to the client by e-mail. The initial Password sent by CIPL can be used only for the first login. I agree and undertake to immediately reset my Password. I am aware that the Password sent through the above mentioned mode by CIPL and subsequent Password reset by me is not known to or available with anyone else including CIPL and / or its Officials, agents. At no point in time shall CIPL be liable for any loss, whether notional or actual, that may be suffered by me on account of the misuse of the Password.

### **3. INVESTMENT ADVICE**

I acknowledge that CIPL shall not be liable to provide me with any legal, tax or accounting advice or advice regarding the suitability or profitability of a security or investment. I also acknowledge that CIPL's employees / Authorised Persons are not authorised to give any such advice and that I will not solicit or rely upon any such advise form CIPL or any of its employees.

Any information or recommendation provided by CIPL in addition to the Online trading Service shall not be construed as investment advice given by CIPL to the Client.

I agree that in the event of CIPL or any employee or official of CIPL providing any information, recommendation or advice to me, I may act upon the same at my sole risk and at my cost and CIPL shall not be liable or responsible for the same. I assume full responsibility with respect to my investment decisions and transactions.

### **4. NO MARKET MANIPULATION**

The client undertakes not to execute transactions either singly or in concert with other clients, which may be viewed as manipulative trades viz. Artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, or trades etc. Which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. If CIPL finds such activities, CIPL has every right to inform the Exchange/SEBI/FIU/other regulatory authority of the same and suspend/close the trading account.



## **5. NOT TO ACT AS UNREGISTERED SUB BROKER**

I/we undertakes not to act as an unregistered Sub-broker and deal only for myself/ ourselves and not on behalf of other clients in case CIPL perceives that I am acting as an unregistered sub-broker, CIPL has the right to immediately suspend the trading account and close all open positions and adjust the credits (across all exchanges/segments) against the dues owed by them to CIPL without the requirement of any notice from CIPL. Further, CIPL has the right to inform the concerned regulatory authorities about the same.

In the aforesaid eventuality, I agree and undertake to indemnify CIPL from any loss/damages/claim arising out of such activity.

## **6. NOT DEBARRED BY ANY REGULATOR**

I confirm and declare that I am not barred by with any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I agree to inform CIPL. In writing of any regulatory action taken by any Exchange or Regulatory/Statutory authority in future. In case if I fail to inform the same and CIPL on its own comes to know of such action, CIPL has the right to suspend/close my trading account and refuse to deal with me. Also, CIPL can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to them.

## **7. PMLA DECLARATION**

I declare that I have read and understood the content and the provisions of the PMLA Act 2002, which were also explained to me by the CIPL officials. I further declare that I shall adhere to all the provisions of PMLA Act 2002. I further undertake and confirm that I do not have any links with any known criminals, I am not involved or indulged knowingly or assisted directly or indirectly, in any process or activity connected with the proceeds of crime or party to it.

## **8. ERRORS AND OMISSIONS**

I understand and agree that inadvertent errors may occur while executing orders placed by me. In such circumstances CIPL shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold CIPL responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by CIPL.

## **9. INDEMNIFICATION**

I hereby indemnify and hold CIPL, its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the CIPL directly or indirectly relating to bad delivery of shares/securities and/or third party delivery, whether authorized or unauthorized and fake /forged/stolen shares/securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/operations on the Exchange(s) and/ or proof of address, identity and other supporting documents provided by me at the time of registration and or subsequently.

## **INDEMNITY OF JOINT HOLDINGS**

I hereby agree to indemnify and hold the CIPL harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, changes and or expenses arising from transaction securities held jointly by me with any other person or persons, if any.

## **10. THIRD-PARTY PAYMENTS**

CIPL shall have the prerogative to refuse payments received from any bank account when the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting in written request along-with adequate proof thereof as per proforma prescribed by CIPL. CIPL shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situation mentioned above. However due to oversight, if any such third-party payment has been accepted by CIPL and the credit for the same has been given in the client's ledger, CIPL shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, CIPL reserves the right to liquidate any of the open positions and/or any of the collaterals received held on behalf of the client. CIPL, its Directors and employees shall not be responsible for any consequential damages or losses.



## **11. NO DEALINGS IN CASH**

CIPL as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/Remisier/Employee/Authorised Person of CIPL.

## **12. SQUARING OFF OF POSITIONS & SALE / LIQUIDATION OF COLLATERAL MARGINS**

The client shall settle the transactions, within the Exchange specified settlement time by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then CIPL has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. CIPL, its Directors and Employees shall not be responsible for any loss or damages arising out of such square offs.

All such square off transactions shall have implied consent and authorization of the client in favour of CIPL. After such square off of open position by CIPL, as mentioned in above clauses. If there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, CIPL shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. CIPL, its Directors and employees shall not be responsible for any loss or damages arising out of such selling.

**Client Signature**  12



## Supplementary KYC Information & FATCA-CRS Declaration - Individuals

**(Please consult your professional tax advisor for further guidance  
on your tax residency FATCA / CRS Guidance)**

Name*			
Pan		Gender	<input type="radio"/> M <input type="radio"/> F <input type="radio"/> O
Place of birth		Country of birth	
Nationality		Folio No. *If PAN is not available	

Type of address given at KYC KRA	<input type="radio"/> Residential <input type="radio"/> Residential or Business <input type="radio"/> Business		
Gross Annual Income (Income Range per annum)	<input type="radio"/> Upto 1 lakh	<input type="radio"/> Upto 1 lakh to 5 lakhs	<input type="radio"/> Upto 5 lakhs to 10 lakhs
	<input type="radio"/> Upto 10 lakhs to 25 lakhs	<input type="radio"/> Upto 25 lakhs to 1 Crore	<input type="radio"/> Above 1 Crore
Net worth INR, in Lakhs		Date	
Occupation Details	<input type="radio"/> Business	<input type="radio"/> Professional	<input type="radio"/> Public Sector
	<input type="radio"/> Retired	<input type="radio"/> Housewife	<input type="radio"/> Govt Service
	<input type="radio"/> Student	<input type="radio"/> Forex Dealer	<input type="radio"/> Others (specify)
Politically Exposed Person (PEP)	<input type="radio"/> Yes	<input type="radio"/> Related to PEP	<input type="radio"/> Not applicable
Are you tax resident of any country other than India?	<input type="radio"/> Yes <input type="radio"/> No		

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country#	Tax Identification Number*	Identification Type (others, please specify)

# To also include USA, where the individual is a citizen / green card holder of The USA

\* In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Certification		SIGNATURE OF APPLICANT
I/We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.		13
Place:	Date:	

Please submit the form duly filled, signed, for all the holders, separately, and submit it at below mentioned address or you can dispatch the hard copy to -

**Composite Investments Pvt Ltd**  
No.30/1, 3rd Floor, S J Towers,  
Mission Road, Bangalore - 560027.

### FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days It is important that you respond to our request, even if you believe you have already supplied any previously requested information.